

11/29/01

12-03-01

11/29/01 U.S. PTO

Please type a plus sign [+] inside this box

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	IN-5264
	First Named Inventor	Timothy S. DECEMBER
	Filing Date	November 29, 2001
	Title	Cured multilayer coating providing improved edge corrosion resistance to a substrate and a method of making same
	Express Mail Label	ET332278479US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 68] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="checkbox"/>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 02] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting</small></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identify of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 CFR§3.73(b) Statement <input checked="" type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/ PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically Itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> other:</p>
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17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

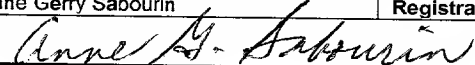
<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09/464,432</u> filed on <u>December 15, 1999</u> , and 09/907,206 filed on July 17, 2001
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Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. **CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<div> 26922 PATENT-TRADEMARK OFFICE</div>	or <input type="checkbox"/> Correspondence address below
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Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent)	33,772		
Signature			Date	November 29, 2001	

11/29/01 U.S. PTO 09/998453

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 2001

Attorney Docket No.	IN-5264
First Named Inventor	Timothy S. DECEMBER
Filing Date	November
Title	Cured multilayer coating providing improved edge corrosion resistance to a substrate and a method of making same
Express Mail Label	ET332278479US

TOTAL AMOUNT OF PAYMENT \$870.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

- ☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	25 - 20** =	05	18	\$90.00
Independent Claims	02 - 3** =	0	84	
Multiple Dependent			290	

**Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$90.00

FEE CALCULATION

3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,320	Petition to revive-unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	\$40.
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other	fee	Reduced By Basic Fee Paid Filing	\$40.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent)	33,772		
Signature	<i>Anne H. Sabourin</i>	Date	November		

PATENT

(Practitioner's Docket No. IN-5264)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Timothy S. DECEMBER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: November 29, 2001

Examiner: Not Assigned

For: CURED MULTILAYER COATING PROVIDING IMPROVED EDGE CORROSION RESISTANCE TO A SUBSTRATE AND A METHOD OF MAKING SAME

Commissioner of Patents
BOX Patent Application
2900 Crystal Drive
Arlington, VA 22202-3513

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET332278479US Date of Deposit November 29, 2001

I hereby certify that the following attached paper or fee

☒

Application

Specification on 62 pages; claims on 05 pages;
the abstract on 01 page(s); drawings on page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the **Commissioner for Patents, BOX Patent Application, 2900 Crystal Drive, Arlington, VA 22202-3513**

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

PATENT

(Practitioner's Docket No. IN-5264)

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In re Application of

Timothy S. DECEMBER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: November 29, 2001

Examiner: Not Assigned

For: CURED MULTILAYER COATING PROVIDING IMPROVED EDGE CORROSION
RESISTANCE TO A SUBSTRATE AND A METHOD OF MAKING SAME

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents

Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ I hereby certify that the attached correspondence is being **HAND DELIVER** by **Cantwell & Paxton, Inc.** to the **Box Patent Application**, Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

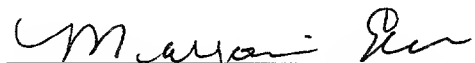
37 C.F.R. S 1.10*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Addressee"
Mailing Label No: **ET332278479US (mandatory)**

TRANSMISSION

☐ transmitted by facsimile to the Patent and 'Trademark Office.


Signature

Marjorie Ellis

Date: November

(type or print name of person certifying)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(s) AUTHORIZED TO SIGN

3. Anne Gerry Sabourin, 33,772
(type name of person authorized to sign on behalf of assignee)
Managing Counsel
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

- A.
- ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at
Reel _____, Frame _____.
 - ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

- B.
- ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____
Name of inventor(s)
To: _____

Recorded in PTO:
Reel _____ Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 33,772


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin

Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

PATENT

(Practitioner's Docket No. IN-5264)

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Timothy S. DECEMBER

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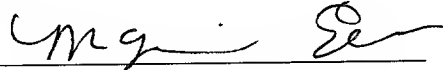
☒

Combined Declaration and Power of Attorney on 2 pages

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